Review of Best Practices in Documenting Newborn Screening Refusals for States October, 2014

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	Number of States	Percent of States
Refusal for any reason	15	29%
Refusal for religious reasons	33	65%
No provision for refusals	3	6%





	No State Form		Optional State Form		Required State Form	
Refusal provision	n	%	n	%	n	%
Refusal for any reason	5	10%	6	12%	4	8%
Refusal for religious reason	12	24%	6	12%	15	29%
No provision for refusals	3	6%	0	0%	0	0%
Total:	20	39%	12	24%	19	37%



Purpose of Project

- Documentation of refusals is considered good practice for state programs and supports the goals of newborn screening programs
- Wide variation in what states do to document refusals
- Little information available on how to effectively document refusals



Methods

- Survey of state newborn screening coordinators
 - 82% response rate
 - \$5 gift card offered
- Collected newborn screening refusal forms

 93% of optional or required forms gathered
- In-depth interviews with five states
 \$10 gift card offered
- Focus group with parent advocates on refusal forms



Results

• Highlighted the value of documenting refusals at the program level

All newborn screening programs should require program-level documentation of newborn screening refusals

(States without a provision for refusals should document refusals so information is available for appropriate follow-up)



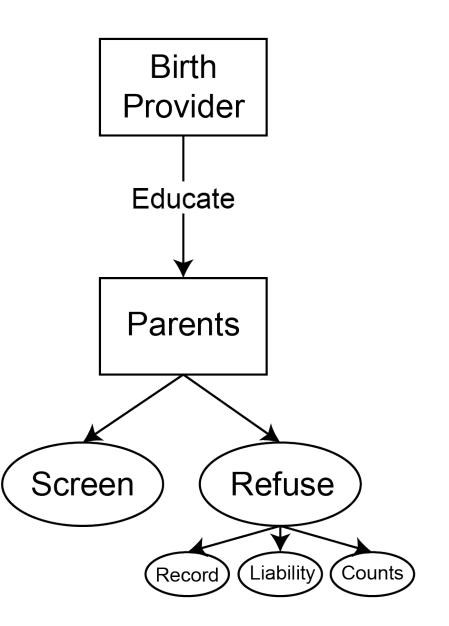


Basic model for documenting refusals

Challenges:

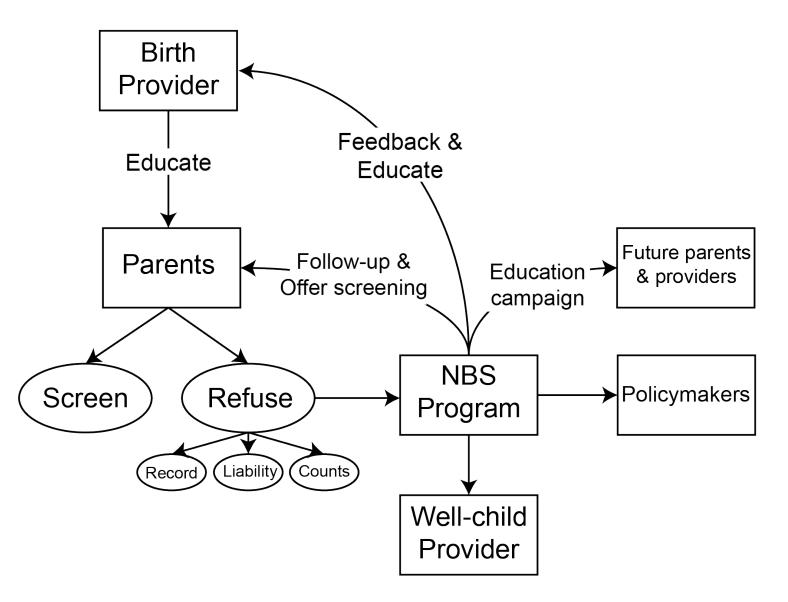
- Cost / benefit ratio seems high
- Emphasis on counting and protection from liability
- Can be easy to see why some programs wouldn't want to bother with this

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Enhanced Model for Documenting Newborn Screening



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DIVISION OF STUDENT AFFAIRS ASSESSMENT The most important purpose for documenting newborn screening refusals is to facilitate communication between the many stakeholders while recordkeeping, liability protection, and counting serve a secondary role.

> Well-child Provider





Gathering accurate data regarding newborn screening refusals allows newborn screening programs to follow-up with parents, hospitals, birth providers, and well-child providers, and reduces the number of babies who are not screened

What to Include on the Form

• Contact information for:

- Parents
- Birth center / hospital
- Birth provider
- Well-baby provider
- Make the form available in multiple languages
- Ask for the reason for refusal
- Educate about screening might be the only NBS document a parent sees
 - Summary of conditions screened

What to Include on the Form

- Include website and phone number for additional information about screening
- Clear instructions on the form
- Separate refusal for screening with refusal for storage / research of bloodspot cards
- Have separate options for metabolic / genetic, CCHD, hearing
- Use a paper form (or require a portion be printed and given to the parents)
- Do not rely on bloodspot card (insufficient space for all of the information needed and parents do not usually see the bloodspot card)

Linking Records

- Link laboratory records, birth certificate records, and refusals to ensure all babies are accounted for
- Some smaller states did this by hand
- Integrated electronic data systems would be best
- Want to avoid "misses"





- Find out what your state's NBS program does to document refusals (and your state's policy on refusals!)
- Update your state's refusal documentation form
- You can request specific feedback from the authors: jeremy.penn@ndsu.edu
- Communicate the change with key stakeholders
- Support additional research on educating providers, follow-up with patients, reasons parents refuse, and using information on refusal to inform policy decisions

Note: statements in this presentation are those of the authors and not necessarily those of NDSU or HRSA

